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Weak faults at megathrust plate boundary respond to tidal stress

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Abstract

Lateral spatial variations of weak portions at the plate boundary in subduction zones have been estimated primarily by the distribution of slow earthquakes mainly occurring around seismogenic zones. However, the detailed depth profile of weak faults remains elusive. Here, we deployed six ocean bottom seismometers in the Nankai subduction zone, Japan, to observe reflections originated from drilling vessel *Chikyu* ship noise (hydroacoustic *P* wave) that was persistently radiated from a fixed position at the sea surface, and retrieved *P*-to-s (*Ps*) reflections from multiple dipping faults near the plate boundary. The *Ps* amplitudes were stacked and compared according to the degrees of tidal stresses, and high amplitudes were observed at high tide (compression). A migration technique shows that the locations where velocity contrasts fluctuate were estimated at both the megasplay fault and another fault between the megasplay fault and the top of the oceanic crust. This indicates that the physical properties of these faults are altered by tidal stress. The physical-property changes are attributed to fluid connections and isolations within the faults due to tidal stress fluctuations, inducing the variation of seismic anisotropy. Such a variation was confirmed by a three-dimensional numerical simulation for wave propagation with anisotropic medium. Our observation suggests that multiple weak faults are present around the plate boundary, and the obtained changes of fault physical properties may have implications for in-depth understanding of tidal triggering of earthquakes.

Keywords: Ambient noise, Seafloor observation, Ps reflection, Ship noise, Tidal response, Megasplay fault

Introduction

Tidal stresses result from the deformation of the Earth and ocean loading due to the gravitational pull of the Sun and Moon, and these can trigger ordinary (Cochran et al. 2004; Tanaka et al. 2006; Ide et al. 2016) and slow earthquakes (Rubinstein et al. 2008; Nakata et al. 2008; Lambert et al. 2009; Ide 2010) by stress fluctuations on plate boundaries in subduction zones. The tidal sensitivity of slow earthquakes is affected by the fluids within faults (Hawthorne and Rubin 2010; Houston 2015; Yabe et al. 2015; Nakamura and Kakazu 2017). Under wetter conditions, the physical properties of the plate boundary and

thereby its frictional properties are presumably affected by external periodic forces. However, there has been little observation on the tidal response of plate boundary properties because it requires continuous natural or artificial seismic sources as well as persistent retrieval of seismic waves sampling the boundary. The discovery of such phenomena could allow for the identification of mechanically weak faults that are closely linked to rupture propagations of megathrust earthquakes and tsunami generation, and this could be the key to understanding tidal triggering of earthquakes.

A scientific drilling project was carried out between October 2018 and March 2019 by the International Ocean Discovery Program (IODP) with the drilling vessel D/V *Chikyu* (hereafter *Chikyu*) (IODP expedition 358) (Fig. 1) at the C0002 site located off the Kii Peninsula in the Nankai subduction zone, Japan, beneath which

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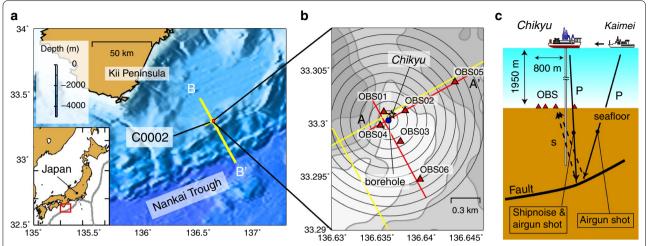


Fig. 1 Geometry of the *Chikyu*, drilling site, and OBSs. **a** Location of the drilling site (C0002) and reflection profiles (Shiraishi et al. 2019) (yellow lines). **b** The red triangles show the locations of the six OBSs. The red lines show the locations of the migration images shown in Figs. 7 and 8. The yellow lines correspond to the reflection profiles used in Figs. 7 and 8 (Shiraishi et al. 2019). **c** Vertical cross-section showing the *Chikyu*, the *Kaimei*, the OBSs and the fault at depth. Solid and dashed lines indicate *P* and *S* waves, respectively

recurring slow slip events have occurred around the plate boundary (Araki et al. 2017). Because the *Chikyu* has the potential to excite incessant seismic sources during the project, we installed six ocean bottom seismometers (OBSs) at distances ranging between 100 and 800 m from the drilling site in the period from November 2018 to May 2019 to retrieve seismic reflections beneath the seafloor originated from the *Chikyu*.

Seismic interferometry is capable of detecting waves propagating between two receivers by cross-correlating the ambient noise recorded at both the receivers (Shapiro 2005; Brenguier et al. 2007). Applying this technique to continuous seismic records observed at a near-vertical array of OBS04 and a borehole sensor (Kopf et al. 2011, 2016) (Fig. 1b), we found that the downgoing P waves generated by the Chikyu ship noise were dominant during the non-drilling period, while the upgoing S waves from the drill-bit torque at depth could be observed in the drilling period (See details in "Materials and methods" section): *Chikyu* produces hydroacoustic *P* waves in the sea water by, e.g., internal instrumental and external thruster noises, from the fixed drilling position at the sea surface, and they are converted to downgoing P waves at the seafloor. Therefore, we examined the *Chikyu* shipnoise records acquired by the 6 OBSs during the nondrilling periods of the project, to persistently retrieve the seismic waves reflected from the downgoing P waves at the deep structure around the plate boundary.

In the "Materials and methods" section, we confirm the wavefield near the seafloor during the non-drilling period with seafloor and borehole sensors, correct the amplitudes of the six OBSs with site amplifications, and extract reflections through a deconvolution technique from both airgun shot experiments conducted by the two vessels and the *Chikyu* ship noise. In the "Results" section, we compare the amplitude variations of reflections in the deconvolved waveforms using the calculated tidal stresses, and image the locations, where the effects of tidal stress can be observed, through a migration technique. We finally discuss a possible model that explains reflection amplitudes induced by tidal stresses.

Materials and methods

Ambient noise wavefield during the drilling period

Six ocean-bottom seismometers (OBSs) were installed at a range of distances between 100 and 800 m from C0002. Four OBSs were placed at 100, 100, 200 and 250 m by the remotely operated vehicle (ROV) installed on *Chikyu* and the two remaining OBSs were dropped in free-fall from R/V *Yokosuka* at 700 and 800 m from the drilling site; their location errors are ~ 2 m and 10–20 m, respectively.

To investigate the ambient noise wavefield during the drilling project, we calculated the cross-correlation function (CCF) of continuous records observed by OBS04 and a borehole sensor that is deployed at a depth of 900 m beneath the seafloor (Figs. 1b and 2a) (Kopf et al. 2011, 2016). The OBS contains a 4.5 Hz short-period sensor with three components. The length of the time window was 80 s. Spectral whitening was performed during the calculation of the CCF (Brenguier et al. 2007; Bensen et al. 2007). Because the rotation speed information of the drill-bit (RPM: rotation per minute) in the drilling vessel *Chikyu* was available (Fig. 2b), the CCFs averaged over a 1-hour period using ambient noise records of the

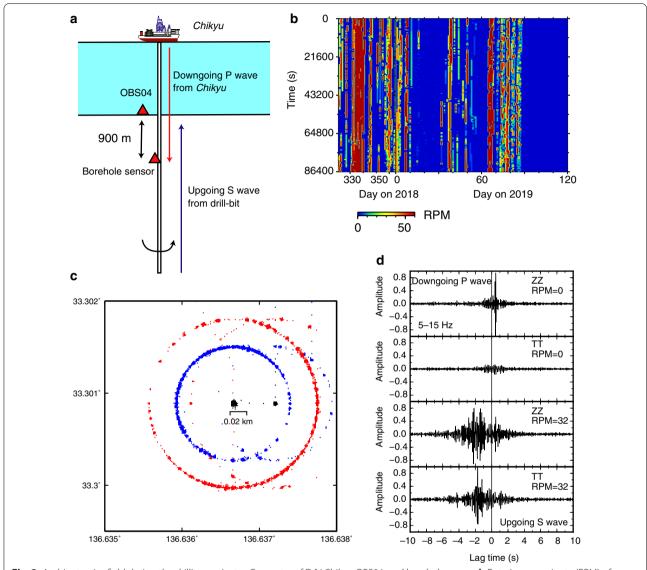


Fig. 2 Ambient noise field during the drilling project. **a** Geometry of D/V *Chikyu*, OBS04, and borehole sensor. **b** Rotation per minute (RPM) of drill-bit during the drilling project. The vertical axis is time over a 1-day period. **c** The blue, black, and red dots represent the locations of the front, center, and back parts of the *Chikyu* during the Julian days 1–58 in 2019. **d** Waveforms of (from top to bottom) ZZ-CCF (cross correlation function) for RPM=0, TT-CCF for RPM=32, and TT-CCF for RPM=32. The reference site of the CCFs is OBS04, and indicates that peaks at positive/negative lag time are downgoing/upgoing waves

vertical component (ZZ) between the two sensors were calculated under two conditions: 1-hour averaged RPMs of 0 (non-drilling period: 10:00-11:00 (UT), November 20, 2018) and 32 (drilling period: 13:00-14:00 (UT), November 20, 2018). When RPM=32, the drill-bit was located at a depth of ~ 5000 m from the sea surface (Additional file 1: Fig. S1). This processing was also performed using the transverse component (TT), in which the transverse direction at the two sensors is perpendicular to the directions from the *Chikyu* to the two sensors.

Figure 2d shows four CCFs (ZZ and RPM=0, ZZ and RPM=32, TT and RPM=0, and TT and RPM=32). Because the reference site is OBS04 (seafloor) for the CCF calculation, peaks with large amplitudes at the positive/negative lag time in the CCFs represent the downgoing/upgoing wave. The ZZ-CCF for RPM=0 shows the downgoing P wave at a lag time of 0.5 s, and the TT- and ZZ-CCFs for RPM=32 show the upgoing S wave at a lag time of 1.8 s. This means that the upgoing S wave and downgoing P wave are dominant in the ambient noise

wavefield during the drilling and non-drilling period, respectively.

Correction on the amplification of seafloor records

Seismic waves observed at the seafloor are amplified by soft materials in the marine sediments at shallow depths (Yabe et al. 2015). The amplified amplitudes at the OBS are corrected by zero or weak amplification of those observed at the borehole sensor. We used four deep earthquakes associated with the Pacific Plate subducting beneath the Japanese Island (Table 1). The epicenters of these events are located within 200 km from the drilling site, which ensures near-vertical incidence of P wave into the OBSs. W_{ij} is the root mean squared amplitude (RMS) of the vertical component for the i-th event and the j-th station, and is given by

$$W_{ij} = \frac{\sqrt{w_{ij}^2(t)}}{\sqrt{w_{i0}^2(t)}},\tag{1}$$

where w_{ij} and w_{i0} are the vertical components at an OBS and borehole sensor, respectively, for a time window from -1 to 9 s from the P arrival time. The RMS of the horizontal component, H_{ii} , is defined as

$$H_{ij} = \frac{\sqrt{u_{ij}^2(t) + v_{ij}^2(t)}}{\sqrt{u_{i0}^2(t) + v_{i0}^2(t)}},$$
(2)

where u_{ij} , v_{ij} and u_{i0} , v_{i0} are the two horizontal components at an OBS and borehole sensor, respectively. Using

these at a frequency band of 5-15 Hz, the site amplification factors for the vertical and horizontal components at the j-th station can be written as

$$W_j = \frac{\sum_{i=1}^4 W_{ij}}{4}, H_j = \frac{\sum_{i=1}^4 H_{ij}}{4}.$$
 (3)

The estimated values for each of these variables are summarized in Table 2. The estimated values for different events were stable, which led to small errors. Although the amplification factors in the horizontal component are larger than those in the vertical component, such large values were also obtained previously in this region (Kubo et al. 2018; Yabe et al. 2019). Moreover, based on this correction, in the "Discussion" section, we do not incorporate sediment layers in numerical simulations when comparing the observed and calculated amplitudes.

Ps reflections from inline airgun shots within \pm 10 km

Research Vessel (R/V) *Kaimei* (hereafter *Kaimei*) performed successive airgun shots along the NNW–SSE trending line within ± 10 km from the drilling site (Fig. 3). Figure 3b–d display the three-component seismic records, where two *P*-to-*s* (*Ps*) reflected waves can be clearly seen in the two horizontal components. When a gently dipping interface, i.e., a megasplay fault, is present beneath the seafloor, a reflected *P* wave emerges at a nearly constant two-way travel time within an offset of 10 km (Nakanishi et al. 2008): the megasplay fault is a major out-of-sequence thrust fault splayed off the plate interface. When the offset is small, a *Ps* wave can also be

Table 1 Deep earthquakes used for estimating site amplification

	Date	Latitude (°)	Longitude (°)	Depth (km)	Magnitude
Equation 1	2019/03/16 11:07:11	32.5795	136.9545	458.0	3.2
Equation 2	2019/03/16 20:16:16	33.0767	138.3772	365.0	3.3
Equation 3	2019/03/20 04:17:30	34.1690	136.6558	359.9	3.0
Equation 4	2019/03/22 17:00:18	33.6270	137.2815	368.0	3.3

Table 2 Estimated site amplifications at each OBSs

	Amplification of the vertical component	Error for the vertical amplification	Amplification of the horizontal component	Error for the horizontal amplification
OBS01	1.296	0.136	14.944	1.247
OBS02	1.343	0.157	18.698	0.881
OBS03	1.476	0.118	19.446	0.777
OBS04	1.406	0.157	19.595	1.170
OBS05	1.126	0.180	14.791	1.189
OBS06	1.300	0.195	16.214	1.046

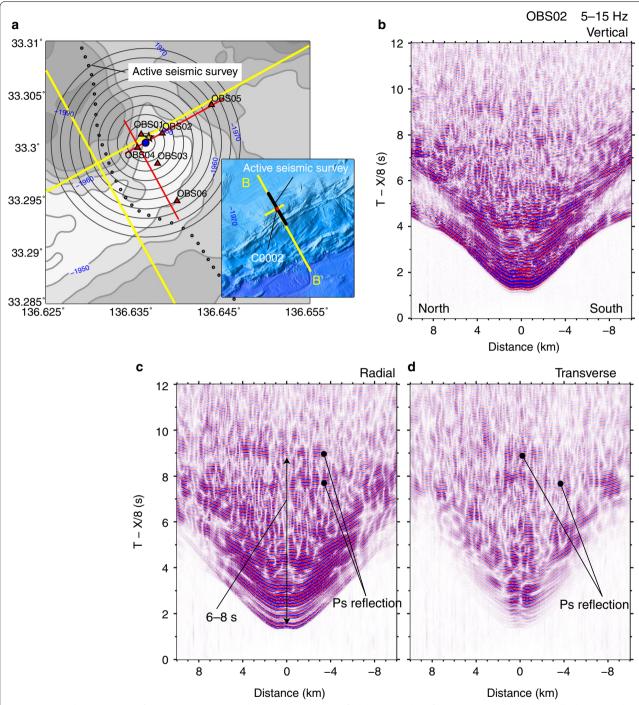


Fig. 3 Airgun shot experiment from R/V Kaimei. **a** Map showing the locations of inline airgun shots from R/V *Kaimei* (black dots). All other symbols are as in Fig. 1. **b** Three-component shot records observed at OBS02 at frequency bands 5–15 Hz. Left and right sides of the panels represent the NNW and SSE directions

observed in a similar manner. Based on Ps - P times of 6.1 and 7.6 s, a Vp model (Shiraishi et al. 2019), and an empirical relation between Vp and Vs (Brocher 2005), two Ps waves are reflected from the megasplay fault at a

depth of 7 km and the top of the oceanic crust at a depth of 9 km. For example, using a Vp of 3.70 km s⁻¹ between the megasplay fault and the top of the oceanic crust (Shiraishi et al. 2019), we obtain a Vs of 2.02 km s⁻¹ from the

empirical relation (Brocher 2005). Using a differential travel time of 1.5 s, we obtained a thickness of 1.96 km between the two reflections.

Ps reflections from airgun shot and ship noise by Chikyu

Although the inline-shot records from the *Kaimei* are useful for detecting *Ps* signals, fixed-point airgun shots from the *Chikyu* are more effective to compare with *Ps* signals retrieved from the *Chikyu* ship noise, because the ship noise and airgun shots are generated from almost the same location, whereas the location of the *Kaimei* was at least 400 m away from the *Chikyu*.

To retrieve Ps signals from airgun shot and ship noise, we applied a deconvolution technique in the frequency domain (e.g., Langston 1979; Ammon 1991) to the three-component records with a bandpass filter of 9-15 Hz: the horizontal component is divided by the vertical component in the frequency domain. Because we divided the deconvolved waveform by the maximum amplitude of the auto correlation of the vertical component (Ammon 1991), the absolute Ps amplitudes are preserved irrespective of different OBSs and the temporally varying strengths of ship noise. Prior to the deconvolution, waveform amplitudes in the vertical and horizontal components were divided by the site amplification factors in Table 2. The time windows for airgun and ship noise (ambient noise) records were 20 s from the shot origin time and 80 s, respectively. For ship noise, hourly and daily waveforms in the radial and transverse components were obtained by stacking 80 s-deconvolved waveforms. Here, we only used 1-h waveforms when the stacked number of the 80 s-deconvolved waveforms with RPM=0 was>40 among 45 segments (\times 80 s=1 h). This processing allows us to use the continuous hourly records in which non-drilling periods are dominant.

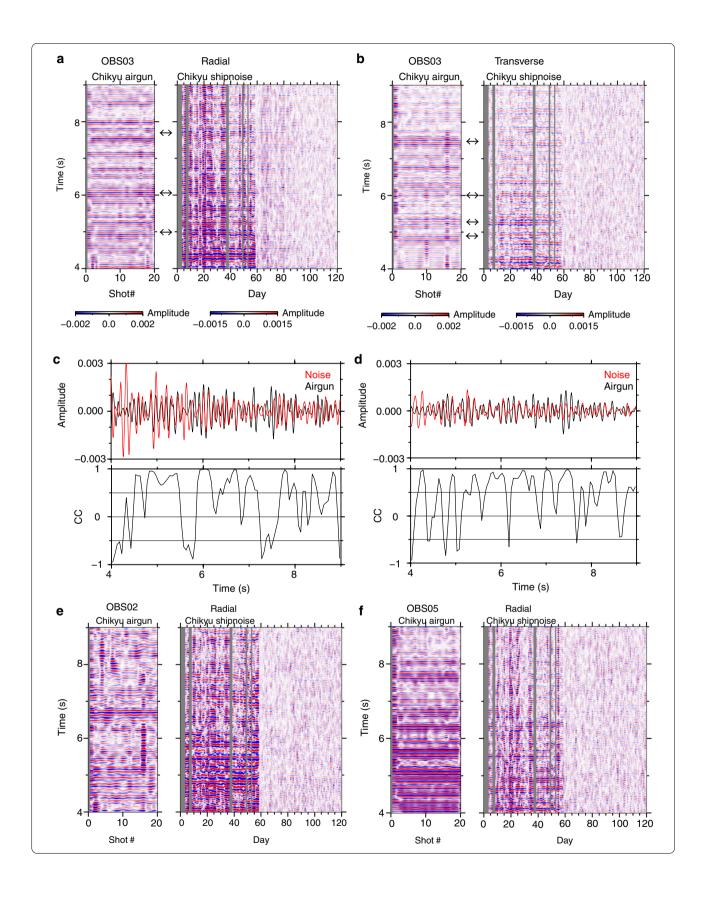
In Figs. 4 and S2, the deconvolved waveforms from 20 airgun shots were aligned at each OBS, while the daily waveforms from ambient noise on Julian days 1-120 of 2019 were aligned, in which the *Chikyu* was located onsite on Julian days 1-58 and was away from the site after Julian day 59: this indicates that none of the signals after Julian day 59 were caused by no ship noises. In these figures, we focused on lag times of 4-9 s by referring to Ps-P times of 6.1 s and 7.6 s at the megasplay fault

and the top of the oceanic crust. Non-drilling periods (RPM=0) were relatively secured during days 1–58 in 2019 compared with the project period in 2018 (Fig. 2b), and the location of the *Chikyu* was ranged within ± 5 m and mostly ± 1 m (the *Chikyu* was located within a radius of 1 m for 90.06% of the days 1–58) during the study period (Figs. 2c, S3a, and S3b). Moreover, in order to evaluate the similarity of the deconvolved waveforms, we estimated cross-correlation coefficients (CCs) between the deconvolved waveforms using airgun shot and ship noise records, with a time window of 0.1 s and a time shift of 0.05 s (Figs. 4 and S2).

Coherent signals between the two sources emerged at lag times of 5, 6, and 7.8 s in the radial-deconvolved waveforms (RDWs) at OBS03 (Fig. 4a), but signals were clearer in the transverse component and could be observed at all OBSs (Fig. 4b and S2). Although coherent signals appear in either source, e.g., 4.3 s in the ship noise (Fig. 4a, b), this may be caused by a volumetric source difference between the ship noise and airgun shot. Whereas the airgun shot is excited by a point source, the ship noise is generated by a small volumetric source due to the internal instrumental and external thruster noises. Such a volumetric difference may have a different sensitivity to reflectors, and result in a difference of Ps extraction in the deconvolved waveforms using the ship noise and airgun shots. Also, the RDWs contain multiple peaks compared with the TDWs (Fig. 4). Those peaks may have originated from the contamination of multiple reflections at shallow depth. In theory, the reflection coefficient of P-to-S reflection from a horizontal boundary for a vertical incident angle is zero. However, when the incident angle of the P wave and the boundary are slightly deviated from the vertical direction and horizontal plane, the reflection and its multiple reflections are possibly observed in the RDWs at smaller lag times and these are deviated to other directions at larger lag times. Moreover, we estimated the CCs of the RDWs and TDWs between airgun shots and ship noises, and coherent signals were obtained with higher CCs in the TDWs (Fig. 4d). We therefore avoided the use of the RDWs in the subsequent processing. Although all the signals in the TDWs obtained from the two sources did not match completely, it is likely that the coherent signals originated from the

(See figure on next page.)

Fig. 4 Radial- and transverse-deconvolved waveforms (RDWs and TDWs) for D/V Chikyu airgun and ship noise. **a** RDWs for D/V Chikyu airgun (left) with 20 shots and ship noise (right) during Julian days 1–58 at OBS03. The days without data (gray shaded areas) correspond to the drilling period, and quiet data after day 59 correspond to the period when D/V Chikyu moved to other sites. Arrows represent coherent signals that can be observed in both the RDWs. **b** Same as panel **a**, but for TDWs. **c** (top) Waveforms stacked over (black) 20 shots and (red) 58 days in panel **a**. (bottom) Cross-correlation coefficients between the red and black waveforms in the top panel, with a time window of 0.1 s and a time shift of 0.05 s. **d** Same as **c**, but for panel **b**. **e** Same as panel **a**, but for OBS02. **f** Same as panel **a**, but for OBS05



same structural boundaries beneath the seafloor, including the megasplay fault, because peaks with high CC values could be observed in the TDWs between the airgun shots and ship noises (Additional file 1: Fig. S2).

Stacking waveforms with tidal stress

The tidal normal stress on the megasplay fault beneath the *Chikyu* was calculated with a method described in a previous study (Yabe et al. 2015), in which the tidal stress tensor on the plate interface associated with the both body tide and the ocean tide was calculated, and the stress tensor was converted to traction (normal stress) on the fault using a dip, strike, and rake on the plate interface. The geometry of the megasplay fault was inferred with a strike = 270° and dip = 10° , which were set by referring to three-dimensional reflections and velocity models in this region (Shiraishi et al. 2019). The slip direction had a rake = 125°, which represents the thrust fault. The azimuth and angle were defined as clockwise from north and downward from the horizontal plane. Figure 5a shows the resulting normal stress on the megasplay fault, in which negative values represent compression. We stacked 1-h TDWs at each 5 days for the following three ranges in normal stress at every OBS: high tide < -2.4 kPa, middle tide between -2.4 and 1.6 kPa, and low tide > 1.6 kPa (Fig. 5a). The envelope functions were calculated by stacking 5-day TDWs during 10-50 Julian days when the number of available TDWs were balanced among the three tide ranges (Figs. 6 and S4): during the 5 days, 120 h (maximum) were divided by the three ranges of the tide, and we counted the numbers of 1-h TDWs in the three ranges (Fig. 5b). The above thresholds for the three ranges of normal stress were determined so as to preserve the number of 1-h TDWs to at least 5 during every 5-day period and for each range. Although the resulting counts on the 37th day were less due to the drilling periods (Fig. 2b) and the count for middle tide on the date was 5, those for high and low tides exceeded 9 (Fig. 5b). We therefore compared the TDWs between high and low tides in this study. The uncertainties of the envelope functions were the standard deviations obtained by bootstrapping the 5-day TDWs for each tide range for 5,000 times with repetition (Figs. 6 and S4).

To investigate the stability of the amplitudes in the stacked TDWs, we plotted 5-day moving averages of the TDWs for the normal stress ranges of high and low tides (Fig. 5c, d). Moreover, the delay time of each segment in the 5-day TDWs between high and low tides was also estimated by cross-correlating them with a time window of 0.2 s and a time shift of 0.1 s (Fig. 5c, d). If some signals in the TDWs were systematically delayed (or advanced)

between high and low tides, the delay time should have showed the coherent patterns at similar lag times.

Migration *P*-to-*s* scattered amplitude and tidal-responded amplitude

Using the three-dimensional Vp model (Shiraishi et al. 2019) and an empirical relation between Vp and Vs (Brocher 2005), we calculated differential travel times between direct P waves from the Chikyu to an OBS and P-to-s (Ps) scattered waves in two-dimensional transects. The amplitudes of the TDWs were plotted onto locations where their lag times were matched with the differential times in the transects. Here, the TDWs were stacked over 10-50 days at every OBS, and the amplitudes in the stacked TDWs were assumed to have been due to Ps waves. The TDW amplitudes at lag times of 5-9 s were used for imaging, where Ps waves reflected around the plate boundary were expected. We only imaged below OBSs within a scattering angle of the Ps wave less than 2° from the vertical axis, because the number of OBSs was small for imaging the entire volume around the OBS array. The scattering angle was defined as the angle between the incident P wave and the *Ps* wave at scattering points.

In addition to the raw amplitudes, we also created transects for displaying the locations where amplitudes responded to tidal stress. To enhance the amplitude variations in response to tidal forces, we calculated the amplified differential Ps amplitudes (AD-Ps) between the envelope functions of the high-tide TDW, $S_H(t)$, and low-tide TDW, $S_I(t)$,

$$F(t) = \frac{R(t)}{|R(t)|} S_H(t) \cdot R(t)^2 \cdot C, \tag{4}$$

where

$$R(t) = S_H(t) - S_L(t), \tag{5}$$

and C is an arbitrary constant which only makes the average amplitude of F(t) comparable to that of $S_H(t)$. We chose C as 2.0×10^6 . R(t) indicates the difference of Ps amplitudes in the envelope functions of $S_H(t)$ and $S_L(t)$ (Eq. (5)). The positive/negative polarity in R(t) represents the velocity contrast increase/decrease at seismic discontinuities at high tide. When the large Ps amplitude in $S_H(t)$ and large Ps amplitude difference in R(t) occur at the same lag times, the peak amplitudes in the $S_H(t)$ were amplified while preserving the polarities of R(t). Thus, F(t) shows Ps reflections from seismic discontinuities with large impedance contrasts and large amplitude variations between high and low tides. The examples of $S_H(t)$ and F(t) at OBS03 and OBS06 are displayed in Figs. 6b and S4b. Using these functions, we made ENE-WSW and

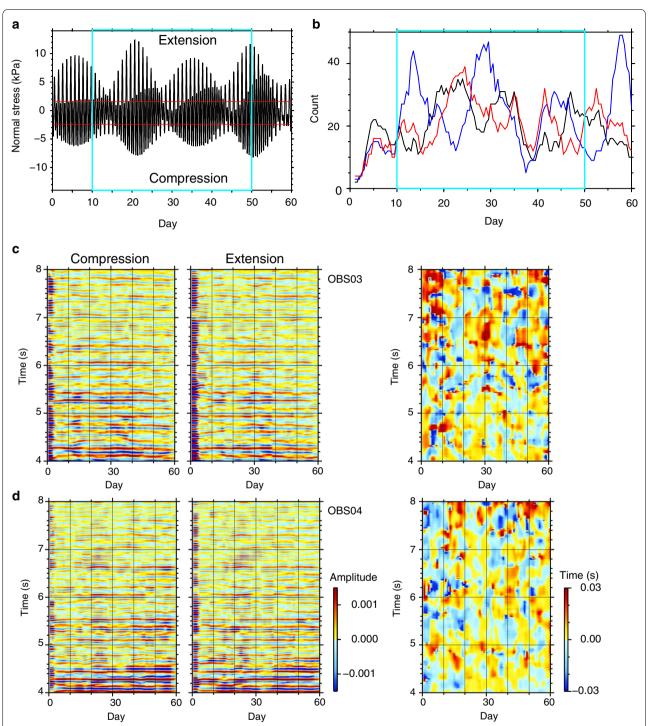


Fig. 5 Tidal response of TDWs. **a** Normal stress on the megasplay fault, calculated from the method described by Yabe et al. (2015) (Yabe et al. 2015). Normal stress values within the light-blue rectangle were used to calculate the stacked envelope function in Fig. 6. The red lines represent the thresholds at high and low tides. **b** Number of stacked 1-h TDWs within 5-day moving averages at (black line) high tide, (blue line) middle tide, and (red line) low tide. **c** The first two panels on the left represent 5-day stacked TDWs during Julian days 1–60 in 2019 for high and low tides at OBS03. The frequency band is 9–15 Hz. The right panel displays the time shift of each segment between the high and low tides, and the time window of the segment and increment are 0.2 and 0.1 s, respectively. **d** Same as panel **c**, but for OBS04

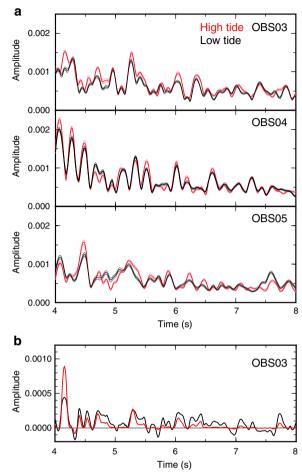


Fig. 6 Examples of transverse-deconvolved waveforms (TDWs) for different tidal stress. **a** The red and black lines represent the TDWs at high and low tide, respectively, for three OBSs. The standard deviations are represented by pink and gray lines at high and low tides, respectively, for OBS03. **b** The black line shows the differential amplitude of the envelope TDWs stacked over 10–50 days in 2019 between high tide and low tide at OBS03. The red line shows the amplified amplitudes of the black line, derived using Eq. (4)

NNW-SSE trending transects (red lines in Fig. 1b) and projected them onto the reflection images (Shiraishi et al. 2019) along nearby ENE-WSW and NNW-SSE trending lines (yellow lines in Fig. 1a, b). Because AD-Ps at OBS01 was slightly large compared with that at other OBSs and the amplitudes in the TDWs may have been unstable during 1–58 Julian days (Additional file 1: Fig. S2a), we removed this station from imaging.

Results

Tidal response of reflections

To investigate whether the amplitudes and travel times of *Ps* waves respond to tidal stress, we calculated the normal tidal stress on the megasplay fault (Yabe et al. 2015) by

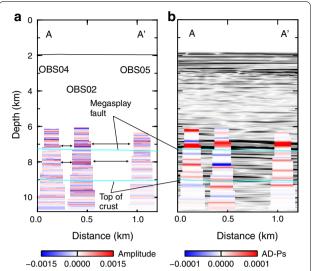


Fig. 7 Migration image of *Ps* wave projected onto the reflection image. **a** Migration image of *Ps* waves in the TDWs along A–A. The light-blue lines show the geometries of megasplay fault and the top of the oceanic crust (Shiraishi et al. 2019). The arrows indicate large Ps amplitudes, which correspond to the megasplay fault and a fault between the megasplay fault and the top of the oceanic crust. **b** Migration image of AD-Ps of (**a**) projected onto the reflection image along the ENE-WSW trending yellow line in Fig. 1b (Shiraishi et al. 2019)

assuming its geometry (Shiraishi et al. 2019) and thrust fault slip derived from the motion vector of the underlying ocean plate (DeMets et al. 2010) (Fig. 5a). Figure 6 presents the comparison of envelope TDWs using ship noise at high and low tide, mainly showing larger peak amplitudes of Ps waves at high tide. This feature can be partially recognized at OBS02 and OBS06 (Additional file 1: Fig. S4), although some peaks show smaller amplitudes at high tide. The stability of the larger amplitudes at high tide at OBS03 and OBS04 were also confirmed by the 5-day moving average of the TDWs (Fig. 5). In addition, the time-shift estimation of waveform segments of the TDWs between high and low tides with a time window of 0.2 s and a time increment of 0.1 s did not vary systematically (Fig. 5c, d). These observations indicate that seismic velocity changes in the entire medium associated with tidal stresses are minor, whereas velocity contrasts at the boundaries within the accretionary prism fluctuated with tidal stress.

Imaging of tidal responding boundaries

Depth migrations of amplified differential *Ps* amplitudes (AD-Ps) between the high and low tides (Eq. (4)) located where the velocity contrast fluctuates in the accretionary prism. The *Ps* signals of the TDWs and the AD-Ps were strong at the megasplay fault at a depth of 7 km, whereas

those signals were weak at the top of the oceanic crust at a depth of 9 km (Fig. 7). Here, for AD-Ps, a positive/negative amplitude represents a correspondingly positive/negative enhancement of *Ps* amplitude at high tide, respectively, and the AD-Ps at three stations close to the line are plotted. Additionally, the velocity contrast of a boundary at a depth of 8 km between the megasplay fault and the top of the oceanic crust fluctuates with tidal stress. More of the clear features of the two boundaries can be recognized in the NS-trending transect (Fig. 8).

Discussion

Velocity reduction in isotropic case

A seismic exploration survey revealed the negative amplitude of P waves reflected at the megasplay fault (Park et al. 2002), which is indicative of a velocity reduction within the fault. The larger positive amplitude observed at high tide in this study indicates that the velocity reduction at the megasplay fault was further enhanced by vertical compression caused by tidal stress.

To confirm the velocity reduction, we conducted a three-dimensional finite-difference approach with rotated staggered grids and second-order calculation accuracies in time and space (Saenger et al. 2000). The model space $(x_1-x_2-x_3)$ was $8\times 8\times 6$ km³ and included four layers with a grid spacing of 0.01 km, and the x_3 -axis was taken as vertically downward. The time step was 0.001 s. An absorbing boundary condition was assigned to each side except for the top (Clayton and Engquist 1977). The source location was set to $(x_1, x_2, x_3) = (4.0, 4.0, 0.0)$ at the model sea surface. A vertical force with a Ricker wavelet at a center frequency of 7.59 Hz (maximum frequency of 10.96 Hz) was applied to the source location. The stations were assigned at the seafloor locations (2 km depth) relative to the *Chikyu* in the observations (Fig. 9a). The Vp, Vs, and density of the homogeneous medium and fluid are 4.5 km s⁻¹, 2.25 km s⁻¹, and 2.5 g cm⁻³, and 1.5 km s⁻¹, 0.0 km s⁻¹, and 1.0 g cm⁻³, respectively (Table 3), in which Vp is roughly referred to an averaged Vp around the megasplay fault. In the isotropic case, a Vs of 2.25 km s⁻¹ at layer 3 was reduced by 1, 3, 7, and 13% (Table 4). The fault thickness was set to be 0.05 km (Rowe et al. 2013), in which Vp and Vp/Vs were referenced to seismic exploration results (Shiraishi et al. 2019).

Prior to comparing the observed amplitude difference (0.0002 in Fig. 6a), and the results of the numerical simulation, we confirm the stability of the TDW amplitudes that are possibly affected by the location of the Chikyu. The position of the *Chikyu* was measured at each minute. We divided the *Chikyu* positions into eight regions, during 1-58 Julian days in 2019 (four quadrants, each with inside and outside 1 m from the central point of the positions) (Additional file 1: Fig. S3a), and stacked TDWs at OBS03 depending on the region in which the Chikyu was located (Additional file 1: Fig. S3c). Because the megasplay fault is dipping, the stacked TDW amplitudes may be varied by the location of the *Chikyu* (4 quadrants). For the stacked TDWs, we calculated the standard deviation of the amplitudes at a time window of 5-9 s in the lag time among nearby regions A–D (Additional file 1: Fig. S3c top) and among distant regions E-H (Additional file 1: Fig. S3c bottom), and obtained standard deviations of 6.49e-5 (0.0000649) and 2.28e-4 (0.0002283), respectively. The former value indicates that, if the *Chikyu* is located within 1 m from the central point of the positions, the observed amplitude difference between the high and low tides is significantly larger than the amplitude variation due to the location changes, and indeed the *Chikyu* was almost located within a radius of 1 m during 1–58 Julian day (90.06%). The latter value is comparable to the observed difference, but this may be caused by

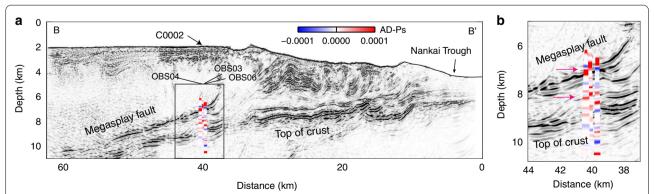


Fig. 8 Migration images of AD-Ps projected onto the reflection images. **a** Migration image of amplified differential *Ps* amplitudes between high and low tide (Eq. (4)) in the TDWs projected onto the reflection image along B–B' (Fig. 1) (Shiraishi et al. 2019). **b** Zoom in on the box in panel **a**. The red arrows indicate multiple weak interfaces, including the megasplay fault

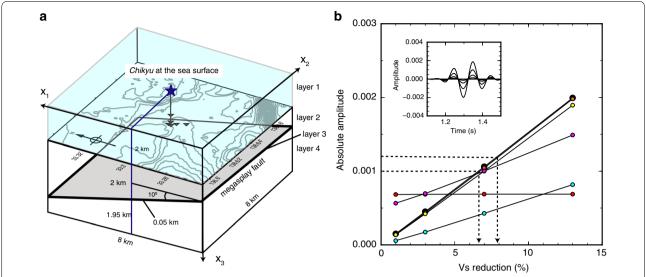


Fig. 9 Numerical simulations for Ps reflected waves. **a** The source location of D/V *Chikyu* was set at the sea surface. Bathymetry is flat. The red triangles represent the six OBSs, whose relative horizontal locations with respect to D/V *Chikyu* were preserved. The physical properties at each layer are summarized in Tables 3, 4, 5. **b** Ps amplitudes reflected at layer 3 as a function of *Vs* reductions in Table 3 for the six OBSs, yellow: OBS01, black: OBS02, light-blue: OBS03, orange: OBS04, red: OBS05, and magenta: OBS06. The two dashed arrows show the *Vs* reduction at OBS04 for an amplitude variation of 0.0002

Table 3 Model space and physical parameters for numerical simulation

	Thickness (km)	<i>Vp</i> (km s ⁻¹)	<i>Vs</i> (km s ⁻¹)	Density (g cm ⁻³)	Dip (°)	Strike (°)
Layer 1	2	1.5	0	1		
Layer 2	2	4.5	2.25	2.46		
Layer 3	0.05	*	*	2.46	10	270
Layer 4	1.95	4.5	2.25	2.46		

^{*}This value is listed in Table 4

Table 4 Model space and physical parameters for numerical simulation

	Thickness (km)	Vp (km s ⁻¹)	Vs (km s ⁻¹)	Density (g cm ⁻³)
Layer 1	2	1.5	0	1
Layer 2	2	4.5	2.25	2.46
Layer 3	0.05	4.5	2.1375 (5%) 2.0250 (10%) 1.9125 (15%) 1.8000 (20%)	2.46
Layer 4	1.95	4.5	2.25	2.46

both the position fluctuations of the *Chikyu* and the lack of stacked TDWs.

Comparing the observed and calculated amplitudes, because the *Ps* amplitude difference is linear with respect to *Vs* reduction, the observed amplitude difference (0.0002 in Fig. 6a) can be linked to a *Vs* reduction

of 1.5–3.3% (Fig. 9b). In this calculation, *Ps* signals were not observed in the transverse component at OBS05 and OBS06. The *Ps* amplitudes at these stations obtained in our observation may have been produced by a focusing effect of *Ps* amplitudes at a gently curved interface of the megasplay fault that was imaged by a 3D seismic volume (Shiraishi et al. 2019), and so these were not used to estimate *Vs* reductions. Because the obtained *Vs* reduction of 1.5–3.3% is large and elastic property perturbations linearly changed by the tidal stress are small, it is therefore necessary to consider other mechanisms capable of significantly changing the seismic velocity of the fault with small stress fluctuations and fluid concentrations.

Fracture connection and isolation due to tidal stress

A possible mechanism is fracture channeling with fluids in the fault by external forces (e.g., Hawthorne and Rubin 2010; Houston 2015). Characteristic fractures are formed around a through-going fault (Y shear) (Bartlett

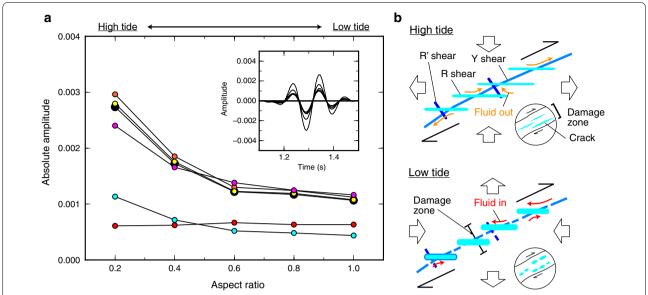


Fig. 10 Numerical simulation for the Ps amplitude reflected at the megasplay fault containing different aspect ratios of cracks. **a** The absolute amplitudes of *Ps* reflection in the synthetic TDWs for the 6 OBSs, yellow: OBS01, black: OBS02, light-blue: OBS03, orange: OBS04, red: OBS05, and magenta: OBS06. The inset displays the synthetic TDW waveforms at OBS04, where large amplitudes were obtained at low aspect ratio. **b** Sketches and circled insets show connected/less-connected fractures and their associated crack geometry at high tide/low tide (macroscopic view), respectively. Arrows of "fluid in" and "fluid out" indicate fluid migration from *R* shears

et al. 1981) within the damage zone of a fault, with different direction groups including, e.g., Riedel (R) shears and antithetic R' shears (Tchalenko and Ambraseys 1970). On land, these shear zones are found in the latest Cretaceous Mugi mélange in southwestern Japan, and this mélange is considered to be a fault rock along the plate boundary in the Nankai subduction zone (Kimura et al. 2012). These fractures around the deep plate boundary are potentially saturated with fluids, because the region between the fault and top of oceanic crust is characterized by high pore pressures (Tsuji et al. 2014), and the land mélange also shows evidence of fluid presence (Kimura et al. 2012). For a dip angle of the megasplay fault of 10° in this region (Shiraishi et al. 2019), the R shears are oriented close to horizontal (Fig. 10). When a small vertical-compressional stress is applied to R shears, their shapes are slightly compressed in the vertical direction, and internal fluids are expelled to Y shear and near-vertical R' shears following the fluid pressure gradient. Here, because the porosity around the fault is 0.07 (Tsuji et al. 2008), the fault transport condition is close to the undrained one, and hence it is considered that the diffusive propagation of tidal stress variations through fluids, which can be observed at the top of the marine sediment with high porosity (Wang and Davis 1996), do not occur within the fault. Slight fluid migration results in fluid connections between shears, thereby enhancing seismic velocity anisotropy within the megasplay fault. By this mechanism, negative AD-Ps would be caused only when near-vertical R' shear expansion is prevalent and there is less connectivity of Y shears in the fault-parallel direction.

Numerical simulation in anisotropic case

To qualitatively investigate large *Ps* amplitudes reflected at the megasplay fault, we also conducted numerical simulations for models in which the degree of anisotropy within the fault varied with the aspect ratio of cracks. Here, the assumptions for an effective medium in the damage zone along the megasplay fault are that highly/ weakly connected fractures are described by inclusions of fault-oriented oblate spheroidal cracks with a small/large aspect ratio, with a constant porosity of the bulk medium at 0.07 by referring to the porosity < 0.07 of land outcrop samples that were considered to be a fossil seismogenic megasplay fault rock (Tsuji et al. 2008).

The calculation of the effective elastic constants for a medium containing fluid-filled fractures and their connections would be complicated. Instead, for the purpose of this study, we assumed a simple model in which fluid-filled oblate spheroidal cracks, assuming Riedel shears, are horizontally developed within the megasplay fault with a dip angle of 10°. When the cracks are partially connected by fluid-filled fractures, such as *Y* and *R*' shears, due to an external force, individual fracture lengths are extended along the fault-parallel direction. This would be expressed by a slight decrease in the average aspect

Aspect ratio	C11	C12	C13	C33	C44	Vp fast (km s ⁻¹) Vp slow (km s ⁻¹)	Vs fast (km s ⁻¹) Vs slow (km s ⁻¹)
1.0	40.310	19.225	19.201	40.310	10.389	4.14	2.10
0.8	40.817	19.454	19.088	39.197	10.309	4.17	2.12
						4.08	2.09
0.6	41.452	19.751	18.856	37.459	10.147	4.20	2.13
						3.99	2.08
0.4	42.249	20.142	18.351	34.457	9.791	4.24	2.15
						3.83	2.04
0.2	43.865	20.671	17.074	28.433	8.734	4.32	2.17
						3.48	1.93

Table 5 Effective elastic constants as a function of aspect ratio of cracks

ratio of the cracks aligned to the fault-parallel direction with minor porosity changes. The P wavelength used in this study is 300–500 m using a frequency of 9–15 Hz and a Vp of 4.5 km s $^{-1}$ (Shiraishi et al. 2019), and the fault thickness at the Nankai accretionary prism toe was estimated to be 47.5 m from IODP Site C0007 (Rowe et al. 2013). Because the P wavelength is sufficiently large compared to the fault thickness and therefore to the fracture sizes, the assumption that fracture connections can be described by the changes in the average aspect ratio of aligned cracks is acceptable.

We calculated effective elastic constants using differential effective medium (DEM) theory for hexagonal symmetry, in which aligned oblate spheroidal cracks with an aspect ratio are contained in a homogeneous medium of given porosity (0.07) (Nishizawa 1982; Tonegawa et al. 2013). The effective elastic constants transformed into those in which the axis was parallel to the normal direction of the megasplay fault with a dip angle of 10° and strike = 270° using the following equation (Nagaya et al. 2008).

$$c_{i'j'k'l'} = U_{ii'}U_{jj'}U_{kk'}U_{ll'}C_{ijkl}, (6)$$

where the elastic constants C_{ijkl} (i, j, k, l=1, 2, 3) are transformed into those $c_{ij'k'l'}$ defined in the $(x_1'-x_2'-x_3')$ coordinate system. C_{ij} in Table 5 was converted into C_{ijkl} using the relationships of ij=11, 22, 33, 23, 13, 12 when i (=j) =1, 2, 3, 4, 5, 6 and

$$C_{ijkl} = C_{jikl} = C_{ijlk} = C_{klij}. (7)$$

The matrix elements $U_{ii'}$ can be written as

$$U = \begin{pmatrix} \cos\xi \cos\lambda & \cos\xi \sin\lambda & -\sin\xi \\ -\sin\lambda & \cos\lambda & 0 \\ \sin\xi \cos\lambda & \sin\xi \sin\lambda & \cos\xi \end{pmatrix}, \tag{8}$$

where ξ and λ are the tilt angle of the symmetry axis measured from the x_3 -axis and the azimuth of the axis measured clockwise from north (x_1 -axis), respectively. We set $\xi = 10^\circ$ and $\lambda = 180^\circ$ in the numerical simulations. The converted physical parameters were inserted in layer 3 in Table 3. In the isotropic case (aspect ratio = 1.0), Vp and Vs in the layer were reduced from 4.50 to 4.25 km s⁻¹ and from 2.25 to 2.15 km s⁻¹, respectively, because the porosity including fluids was set to 0.07. When the aspect ratio of cracks varied from 1.0, the fast and slow seismic velocities are slightly deviated from those values. The aspect ratios are 0.2–1.0 with an increment of 0.2. The calculated seismic velocities are summarized in Table 5.

The results show that *Ps* amplitudes tend to increase as the aspect ratio of cracks decreases following a nonlinear curve (Fig. 10), except for the result at OBS05 as well as the isotropic case. Thus, our results indicate that fault-parallel connections of fractures produce large *Ps* amplitudes in our observation geometry, and that if fractures are originally moderately connected (i.e., their aspect ratio is small), significant amplitude variations can be induced by a small number of additional connections between fractures.

Moreover, we roughly estimated the preferred aspect ratio of cracks within the megasplay fault in this region using both our observation results and numerical simulations. The observed Ps amplitude and its difference between high and low tides were ~ 0.001 and ~ 0.0002 , respectively (Fig. 6). These values indicate that the aspect ratio of cracks is close to 0.8-1.0, and that it varies within 0.6-1.0 between high and low tides (Fig. 10). However, if the porosity within the megasplay fault was smaller than 0.07, e.g., 0.05 in Fig. 11, the obtained Ps amplitudes became small, thus indicating that the aspect ratio of cracks and its variation between high and low tides would also be small. Because land outcrop samples within the fossil seismogenic megasplay fault shows a dominant

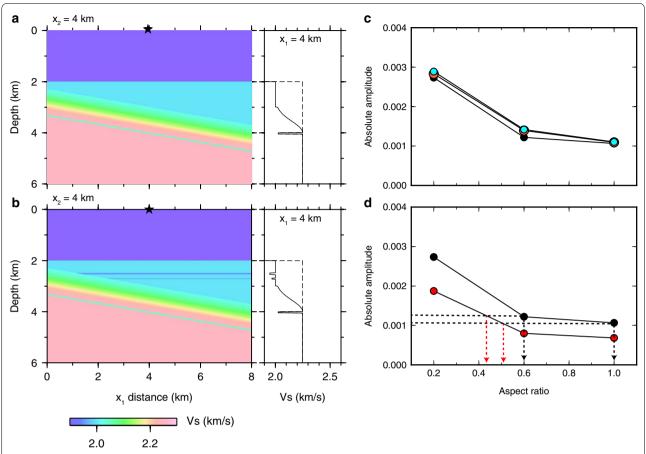


Fig. 11 Numerical simulation results for *Ps* reflected waves in anisotropic media. **a** Velocity model (1) with a velocity gradient above the megasplay fault at $x_2 = 4$ km. Star indicates the source location. Solid and dashed lines in right panel represent 1D velocity model at $(x_1, x_2) = (4.0, 4.0)$ and the original model, respectively. **b** Velocity model (2) with two layers of velocity reductions and a velocity gradient above the megasplay fault. **c** *Ps* amplitudes at OBS02 for (light-blue) model (1), (orange) model (2), and (black) the original model (Fig. 4) at aspect ratio of cracks of 0.2, 0.6, and 1.0. **d** *Ps* amplitudes at OBS02 for (red) porosity of 0.05 and (black) porosity of 0.07 (original model; Fig. 10)

aspect ratio of cracks of 0.1–1.0 (Tsuji et al. 2008), *Ps* amplitudes obtained in this study can be explained by the 0.1–1.0 aspect ratio of cracks even if the porosity is slightly smaller than 0.05.

Moreover, using numerical simulations, we confirm whether the observed *Ps* amplitudes are affected by (1) high velocity anomalies above the megasplay fault, detected by a seismic exploration study (Shiraishi et al. 2019), and (2) small velocity variations at shallow depths (Fig. 11). We examined the following two models: (1) a higher velocity zone above the megasplay fault than that at shallow depths without changing the velocity contrast at the megasplay fault, and (2) two layers above the megasplay fault with velocity reductions of 2.5% and 1.5% and thicknesses of 0.05 km and 0.03 km, respectively. The latter model assumes that other faults are present between the seafloor and the megasplay fault, and their velocities slightly vary due to tidal forces. As a result, the obtained *Ps* amplitudes

were slightly varied in model (1) from those in the original model but the characteristic in which *Ps* amplitudes increase with decreasing the aspect ratio was not changed, while *Ps* amplitudes were almost unchanged between models (1) and (2) (Fig. 11). This investigation indicates that our results are not significantly affected by the velocity gradient and temporal variations of velocity changes at shallow depth.

Conclusion

The physical properties of the plate boundary responding to tidal forces are an important factor for understanding the tidal triggering of earthquakes occurring at the plate boundary. Such property changes are attributed to the presence and connection of fluids within the faults, and indicate the existence of mechanically weak interfaces. Through the tidal response of reflection amplitudes, our study identified two such parallel dipping faults around the plate boundary. Slow slip

events in the shallow Nankai subduction zone have been detected by pore pressure variations at the borehole site (Araki et al. 2017) and also seafloor geodetic observations (Yokota and Ishikawa 2020), and they might have occurred at these multiple weak faults. Additionally, if the physical properties of the weak portions within the megasplay fault have been temporally varied with long-term fluid migration, and if the shear strength within the fault had been very weak, such slow slip events could be possibly triggered by tidal forces.

Abbreviations

OBS: Ocean bottom seismometer; RDW: Radial deconvolved waveform; TDW: Transverse deconvolved waveform; AD-Ps: Amplified differential Ps amplitude; ROV: Remotely operated vehicle; D/V: Drilling vessel; R/V: Research vessel; CCF: Cross correlation function; ZZ: Vertical component correlation; TT: Transverse component correlation; RPM: Rotation per minute; RMS: Root mean square; Vp: P-Wave velocity; Vs: S-Wave velocity; CC: Cross correlation coefficient; Ps: P-To-s: R shear: Riedel shear.

Supplementary Information

The online version contains supplementary material available at https://doi.org/10.1186/s40623-021-01414-3.

Additional file 1: Figure S1. Parameters for Chikyu on November 20, 2018. a Temporal variation of depth of the drill-bit from the sea surface. The water depth is around 2,000 m. b Temporal variation of RPM (rotation per minute). c Schematic for the geometry of two sensors, drill-bit, and the stationary zones. Figure S2. Transverse-deconvolved waveforms (TDWs) at each OBS. (a-e) Same as Figures 4b and CC in 4d, but for a OBS01, b OBS02, c OBS04, d OBS05, and e OBS06. Figure S3. Location of the center position of Chikyu and the stability of the TDWs. a Dots indicate the center position of Chikyu, which are the same as those in Fig. 2c. Red circle represents the radius of 1 m from the central location of dots. Regions are divided into eight regions; A: 1 quadrant inside 1 m, B: 2 quadrant inside 1 m, C: 3 quadrant inside 1 m, D: 4 quadrant inside 1 m, E: 1 quadrant outside 1 m, F: 2 quadrant outside 1 m, G: 3 quadrant outside 1 m, H: 4 quadrant outside 1 m. b Histogram for the Chikyu positions in the four-quadrant. The position was measured at each minute, and the number of 1-min segment during 1-57 Julian days in 2019 was counted. c (top) Waveforms at OBS03 in which the TDWs are stacked during 1-57 Julian days when the Chikyu is located in the region (black) A, (red) B, (blue) C, and (light-blue) D. (bottom) Same as the top panel, but for the region (black) E, (red) F, (blue) G, and (light-blue) H. Figure S4. Envelope functions at high and low tide. a Same as Fig. 6a, with uncertainties in pink and gray lines for the other OBSs. Arrows indicate large amplitudes at high tide at lag times between 5.5 and 7.5 s. b Same as Fig. 6b, but for OBS06.

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Authors' contributions

T.T. processed the data, and drafted the manuscript. T.K. designed the observation. K.S. prepared the data for reflection profiles and drilling parameters of

Chikyu. S.Y. calculated tidal stress. Y.F. and S.K. contributed to the interpretation. T.T., T.K., K.S., E.A., M.K., Y.S., S.M., Y. N., and S. K. acquired the data underlying this study. All authors read and approved to the final manuscript.

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Availability of data and materials

Seafloor seismometer data are available from JAMSTEC upon request. The data that support the findings of this study are available from the corresponding author upon reasonable request.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Competing interests

The authors declare no competing interests.

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