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# Variations of crustal thickness and average Vp/Vs ratio beneath the Shanxi Rift, North China, from receiver functions

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#### **Abstract**

The Shanxi Rift located in the central part of the North China Craton (NCC) as a boundary between the Ordos block and the Huabei basin. The Shanxi graben system is a Cenozoic rift and originated from back-arc spreading related to westward subduction of the western Pacific and far field effects caused by northward subduction of the Indian plate. It has also had strong earthquake activity in China since the Quaternary. To investigate the tectonic evolution and tectonic setting of strong earthquakes in the Shanxi Rift, we apply the receiver function *H-κ* stacking method to determine the crustal thickness and average Vp/Vs ratio in the area. The results show that the thickness of the crust increases from approximately 30 km in the Huabei basin to approximately 47 km in the Yinshan Mountains with a close correlation between the Moho depth and topography. The Yuncheng, Linfen and Taiyuan grabens have varying degrees of crustal thinning. The crustal average Vp/Vs ratio in the Shanxi Rift has significant heterogeneity; the high Vp/Vs ratio (~1.85) are found in the Datong and Yuncheng grabens, and Vp/Vs ratio of the Taiyuan and Linfen grabens is approximately 1.75 which close to the global average value ~ 1.782. Combining the observations in this study with previous research, we suggest that the grabens in the Shanxi Rift experienced extensional deformation from south to north and that the possibility of strong earthquakes in the central part of the Shanxi seismic belt is greater than that on the northern and southern sides.

**Keywords:** Shanxi Rift, Receiver function, *H-κ* stacking, Crustal thickness, Vp/Vs ratio

#### Introduction

The Shanxi Rift is a famous terrestrial rift system and is located in the Trans-North China Orogen (TNCO). The TNCO was assembled  $\sim 1.85$  Ga ago (Zhao et al. 2001) and is bounded by the Ordos block in the western North China Craton (NCC) to the west and by the Huabei basin in the eastern NCC to the east. The Ordos block is a stable craton with minor internal deformation and has a counterclockwise rotation caused by the northeastward growth of the northeastern Tibetan Plateau (Deng et al. 1999; Yin 2000; Tapponnier et al. 2001). The

Huabei basin, as part of the eastern North China Craton, has undergone intensive lithospheric extension and destruction, and offset rift basins have formed, which are induced by the westward subduction of the Pacific plate and the India–Asia collision (Northrup et al. 1995; Tian et al. 1992; Liu et al. 2007; Chen et al. 2009; Zhu et al. 2012). The Shanxi Rift, as a transition zone between the Ordos block and Huabei basin, is adjacent to two units with distinct tectonic patterns and is located in a complex tectonic environment.

The Shanxi Rift has undergone multistage extensional deformation during the late Cenozoic and is still undergoing extensional deformation today (Deng et al. 1973; Tapponnier et al. 1982; Xu et al. 1993; Shen et al. 2000; Zhang et al. 1998, 2003; Shi et al. 2015). However, the rifting mechanism of the Shanxi Rift remains controversial.

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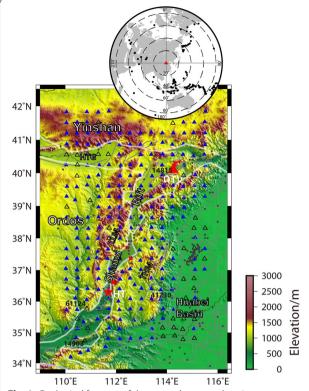
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Some researchers have argued that the evolution of the Shanxi Rift has been dominated by regional stress fields formed by the collision of India and Eurasia (Tapponnier and Molnar 1976; Xu and Ma 1992); some researchers have proposed that the Shanxi Rift was formed by mantle plume upwelling from the lower mantle (Zhao et al. 2011; Lei 2012), while others have argued that, based on many studies of tectonic properties in the Shanxi Rift from north to south in recent years, the rifting mechanisms in the southern and northern Shanxi Rift are different (Song et al. 2012; Tang et al. 2013; Ai et al. 2019; Su et al. 2021; Cai et al. 2021). Thus, the Shanxi Rift and its adjacent regions are a key region for understanding the tectonic evolution of the NCC and regional rift dynamics study.

The western and eastern boundaries of the Shanxi Rift are defined by the Lyliang Mountains and the Taihang Mountains, respectively (Fig. 1). Its northern and southern sides are connected by the E-W-trending Hetao graben and the Weihe basin. The Shanxi Rift system is composed of the Datong, Xinding, Taiyuan, Linfen and Yuncheng basins and is bounded by normal and strike-slip faults, which form an S-shaped feature over a distance of ~1200 km (Xu and Ma 1992; Li et al. 1998; Zhang et al. 1998). The Shanxi Rift is also a large active fault zone and has experienced destructive historical earthquakes (e.g., the 1303 Hongtong M<sub>S</sub> 8.0 earthquake and the 1695 Linfen  $M_S 7^3/_4$  earthquake). Therefore, studying the deep physical structure in the area can provide important information for understanding the mechanism of strong earthquakes and the seismogenic background beneath the Shanxi Rift.

In recent years, many geophysical studies of deep structures have been conducted in the Shanxi Rift and surrounding regions. Local earthquake traveltime tomographic (Chang et al. 2007), joint inversion of surface waves and gravity anomalies (Guo et al. 2015) and surface wave tomography (Song et al. 2015) indicates that the crustal P-wave and S-wave velocity structures of the Shanxi Rift and its surrounding regions are heterogeneous, the upper crust of the Yuncheng and Linfen basins in the south of the rift is dominated by lower velocity, while the lower crust is characterized by higher velocity; the middle and lower crust of the Datong Basin in the north is characterized by low velocity. The results of electrical conductivity studies also show that significant lower-resistivity anomalies exist in the middle and lower crust of Datong volcano based on the three-dimensional magnetotelluric inversion technique (Zhang et al. 2016). Tang et al. (2010) and Li et al. (2014) used the receiver function migration and seismic reflection method and found that the Moho interface exhibits uplift beneath the Shanxi Rift to varying degrees. To consider the thinning phenomenon of



**Fig. 1** Geological features of the research area and station distribution. The white dashed lines represent the boundaries of the tectonic units of the main blocks, the gray lines represent the distribution of faults, the black dots denote the historical earthquakes, the blue triangles represent the stations where crustal and Vp/Vs were obtained, the black triangles represent stations without such results, the red squares display permanent stations, and the red star represents the location of the Hongtong earthquake. *HTG* Hetao Graben, *LLM* Lyliang Mountains, *THM* Taihang Mountains, *DTV* Datong Volcano

the crust beneath the Shanxi Rift, Liu et al. (2011) and Li et al. (2010) obtained controversial results using the receiver function method. Li et al. (2010) found that the basement structure is well-preserved and the crust is relatively thick. Liu et al. (2011) revealed that the crustal thickness of the south Shanxi Rift is significantly 5 to 10 km shallower than the surrounding areas. However, previous studies are based on sparse permanent seismic stations or linear temporary seismic arrays which is difficult to obtain high-resolution image or complete understanding in the area.

In this paper, we used the teleseismic waveforms from the seismic stations of the China Array seismic experiment phase III (ChinArray 2006) and applied the receiver function H- $\kappa$  stacking technique (Zhu and Kanamori 2000) to obtain the crustal thickness and average Vp/Vs ratios in the Shanxi Rift and surrounding

regions. By integrating seismic structural images with previous research results, we attempt to discuss and analyze the tectonic evolution of the Shanxi Rift and seismogenic environments beneath the Shanxi seismic belt.

#### Data and method

#### Data

Teleseismic waveform data recorded from the China Array seismic experiment during 2016–2018 are used in this study. We obtained data from approximately 230 broadband seismic stations that almost evenly cover the eastern margin of the Ordos block, the Shanxi Rift, the Taihang Mountains and the western margin of the Huabei basin with station spacing of 30–50 km (Fig. 1). Earthquake waveforms with magnitudes Ms>5.5 and epicentral distances of 30 $\sim$ 90 degrees were used to compute the P-wave receiver function (Fig. 1).

#### Receiver functions and the H- $\kappa$ stacking technique

The P-wave receiver function (PRF), which is based on the source-equalization assumption, is defined as the impulse response of the crust and upper mantle structure beneath the seismic station to the direct P-wave from the teleseismic waveform (Langston 1979; Farra and Vinnik 2000). The receiver function is an indispensable tool that is widely used to image the deep structure of the crust and upper mantle (Kind et al. 2002; Yuan et al. 2006; Liu et al. 2014). Coordinate rotation and deconvolution are the two main steps to calculate and obtain the receiver function (Ammon 1991; Liu et al. 1996). The original waveform was rotated from the ZNE (vertical, northsouth, east-west) coordinate system to the ZRT (vertical, radial, tangential) coordinate system and was filtered with a Gaussian width factor of 5.0. Then, we computed the PRFs after deconvolving the Z component from the R component in frequency domain (Liu et al. 1996). All isolated PRFs were filtered with a Butterworth band filter of 0.05 ~ 1 Hz. Every trace of receiver function was checked to ensure that only high-quality data were used in this study.

The crustal thickness and average Poisson's ratio, as crucial parameters describing the physical characteristics of the crust, have been important seismic evidence in geodynamics research. Zandt and Ammon (1995) used the arrival time relationship between the  $P_{\rm m}$ s phase and multiple  $PpP_{\rm m}$ s phase to study the global variation in Poisson's ratio determined uniquely from the ratio of P- and S-wave velocities. Zhu and Kanamori (2000) developed the H- $\kappa$  stacking technique, which can extract the thickness of the crust and the ratio of P- and S-wave velocities (Vp/Vs ratio) beneath a station by constructing an H- $\kappa$  grid and stacking (Fig. 3):

$$S(H,\kappa) = w_1 r(t_{Ps}) + w_2 r(t_{PpPs}) - w_3 r(t_{PsPs+PpSs}).$$
(1)

Here,  $w_1$ ,  $w_2$  and  $w_3$  are the weighting coefficients of amplitudes (r) of the Moho conversion Ps, reverberated PpPs and PsPs+PpSs phases, which are 0.6, 0.25, and 0.15 in this study. According to a grid search of the maximum stacking energy (S) of the weighted receiver function amplitudes (Ps, PpPs and PsPs+PpSs), we can obtain the thickness of the crust (H) and the average Vp/Vs ratio  $(\kappa)$  in the H- $\kappa$  grid.

A loose sedimentary layer beneath a seismic station induces a delayed arrival time of the converted and multiple phases in the receiver function (Luo et al. 2008; Yeck et al. 2013; Yu et al. 2015), which may cause bias to the estimation of the crustal thickness and average Vp/ Vs ratio using the H- $\kappa$  stacking technique. The distribution of sedimentary thicknesses in the study area (Fig. 2) shows that low-velocity sediments with a thickness of a maximum of 3 km are covered in the central Ordos, Shanxi Rift and part of the Taihang Mountains, which may lead to a deviation in the results. Here we try to reduce the influence (time delay) of the sediment layers on the H- $\kappa$  stacking by correcting the travel time for the sediment layer. After subtracting the travel times of conversion Ps, reverberated PpPs and PsPs+PpSs in sediment layers (can be calculated according to the obtained velocity model) and applying the H- $\kappa$  stacking, we will get more accurate information of crustal thickness and average Vp/Vs ratio. However, the larger impedance contrast of the sediment-crust interface can produce stronger amplitude reverberations in the RFs that frequently masking the Ps phases from Moho and completely blurring the result of H- $\kappa$  stacking. In this case the time correction for the sediment layer may not work. To examine the applicability of time correction for sediment layer in different situations, we have conducted extensive synthetic tests with different thickness and Vp/Vs for the sediment layer (Additional file 1: Figure S1–S6). According to the tests we found that the presence of the sedimentary layer with thicker thickness or lager Vp/Vs ratio can produce larger reverberations that strongly interfere with signals of Moho and multiples in RFs and make the *H-к* stacking unapplicable (Additional file 1: Figure S5b– c). Combining with the distribution of sedimentary thicknesses and Vp/Vs ratio in Fig. 2, we know that the data of station in most areas can be used in the study.

To evaluate the impact of the low-velocity sedimentary layer in research region on the estimation of the crustal thickness and average Vp/Vs ratio by using receiver function H- $\kappa$  stacking, we constructed a two-layer crustal model with a 3-km-thick sedimentary layer (the structure of the sedimentary layers below station 61124 in

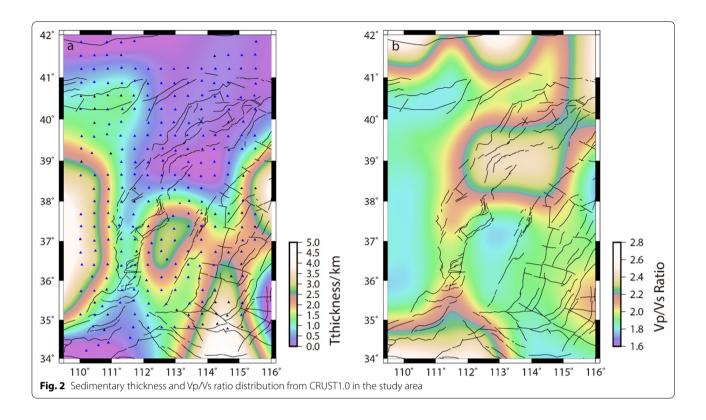
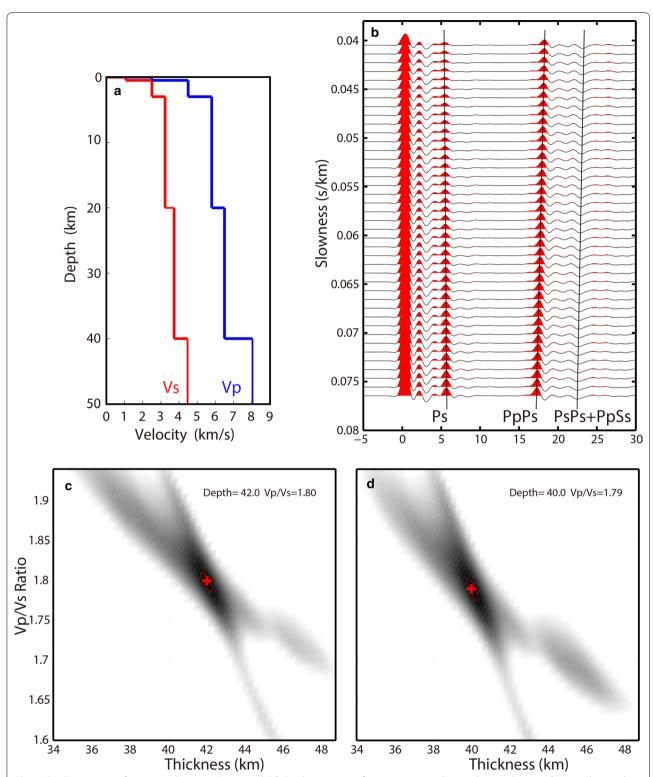


Fig. 1 were obtained from CRUST1.0). The thickness and the P-wave and S-wave velocities of the upper and lower sedimentary layers are 0.5 km, 2.50 km/s, and 1.07 km/s and 2.5 km, 4.50 km/s, and 2.51 km/s, respectively; the average Vp/Vs ratio of the crust below the sedimentary layer is 1.78, and the crustal thickness is 40 km (Fig. 3a). We calculate the synthetic PRF seismograms (Fig. 3b) of the velocity model (Fig. 3a) with slowness in the range of ~0.04 to ~0.077 s/km by using the generalized reflection-transmission coefficient matrix method (Chen 1993). The results of H- $\kappa$  stacking analysis without correcting the arrival time in sedimentary layers show that the crustal thickness has a deviation of 2 km and that the Vp/Vs ratio is also influenced (Fig. 3c). After considering the time delay resulted from sedimentary layers, the results obtained by the receiver function are closer to the real crustal thickness and the average Vp/Vs (Fig. 3d). Next, we use data from station 61124 for testing (Fig. 4). Figure 4a displays the PRFs of station 61124 sorted by slowness in the time domain, and it shows that the arrival times of converted (Pms) and multiple phases  $(PpP_m s \text{ and } PsP_m s + PpS_m s) \text{ are } \sim 5 \text{ s}, \sim 16.8 - \sim 17.9 \text{ s} \text{ and}$  $\sim$ 22.3- $\sim$ 23.2 s, respectively. A comparison of the results of the receiver function H- $\kappa$  stacking analysis in Fig. 4b and c show that the estimation of the crustal thickness without correcting for sedimentary layers has a deviation of a maximum of 1.8 km and that the average Vp/

Vs ratio has also been influenced. Therefore, the reliable result of the crustal thickness and average Vp/Vs ratio, which are closer to the actual situation in the research region, would be obtained by applying receiver function H- $\kappa$  stacking after correcting for the time delay in sedimentary layers. It is important to note that although the CRUST1.0 is the latest global crustal model based on the previous results of active and passive source seismic detection and it still has some uncertainty. The sedimentary thickness distribution of CRUST1.0 in most areas of our research region is in good agreement with the previous results (Wang et al. 2017; Wu et al. 2018), except for the Hetao graben which has the sedimentary layer with a thickness > 5 km (Teng et al. 2008). So it is necessary to judge whether it is applicable based on the quality of real data.

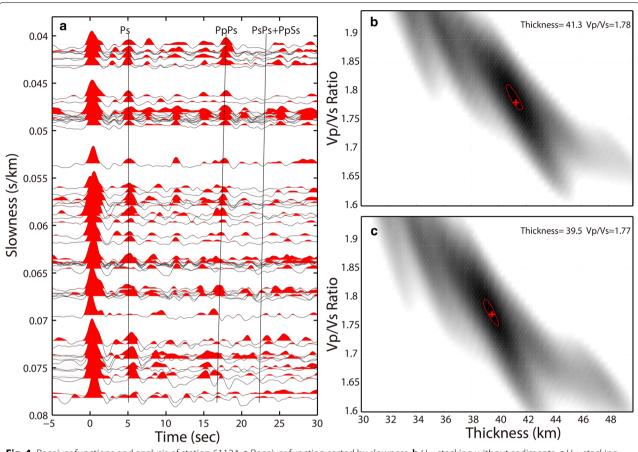
#### Results

In this study, we obtain the crustal thickness and average Vp/Vs ratio of 217 seismic stations based on the receiver function H- $\kappa$  stacking technique by correcting for the time delay from the loose sedimentary layers (velocity structure extracted from CRUST1.0). The data from the remaining stations (black triangles in Fig. 1) are not reliable due to the lack of receiver functions or stronger reverberation caused by the larger impedance contrast of the sediment–crust interface (e.g., stations



**Fig. 3** Synthetic receiver function and test. **a** Velocity model. **b** Synthetic receiver function. **c** H- $\kappa$  stacking without sediments. **d** H- $\kappa$  stacking with sediments

Chen et al. Earth, Planets and Space (2021) 73:200



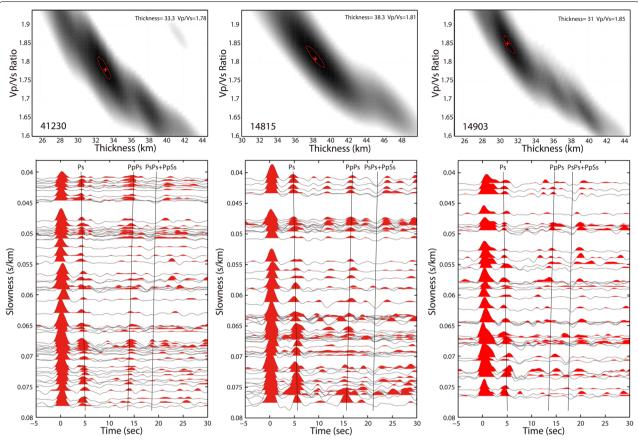
**Fig. 4** Receiver functions and analysis of station 61124. **a** Receiver function sorted by slowness. **b** H- $\kappa$  stacking without sediments. **c** H- $\kappa$  stacking with sediments

41210 and 15782 are shown in Additional file 1: Figure S7). We also included the results from permanent stations JIC, TAG and HZH (red squares in Fig. 1) as a supplement to enhance the reliability of the results in some areas (He et al. 2014). Figure 5 illustrates examples of the P receiver functions and results from the H- $\kappa$  analysis at stations 41230, 14815 and 14903, and the obtained distributions of the crustal thickness and average Vp/Vs ratio are shown in Fig. 6. The overall uncertainties are  $\pm$  0.3–1.6 km for crustal thickness and  $\pm$  0.01–0.09 for  $\kappa$  at each station (Additional file 1: Table S1).

Figure 6a shows that the crustal thickness of the study area gradually increases from the southeast to the northwest, from approximately 30 km to approximately 47 km. Thinner crust of 30–37 km is observed on the east side of the 37 km contour (the blue line in Fig. 6a), which is in good agreement with the north–south gravity lineament (the white dashed line in Fig. 6a). To the west of the 37 km contour, the crustal thicknesses of the Taihang Mountains and Lyliang Mountains are 37–42 km and 37–45 km, respectively; the crustal thickness of the stable

Ordos block is 39–43 km, and the thickest crustal thickness of 43–46 km is observed in the Yinshan Mountains. The crustal thickness distribution in the Shanxi Rift varies significantly from south to north, ranging from 32 to 38 km in the Yuncheng graben and the southern Linfen graben, 36–38 km in the Taiyuan graben, and approximately 42 to 43 km in the other grabens. A comparison of the crust thickness from Ai et al. (2019) based on joint inversion of receiver functions and surface waves reveal that our result clearly shows the better correlation with geological features in the research region (e.g., the Yuncheng, Linfen and Taiyuan grabens have varying degrees of crustal thinning; the 37 km contour line divides the TNCO and the eastern NCC), which attributed to the denser array used in the paper.

In the study region, H- $\kappa$  stacking results reveal crustal Vp/Vs ratios between 1.63 and 1.92, with an average value of approximately 1.79 (Fig. 6a), which is close to the global continental average Vp/Vs ratio of 1.782 (Zandt and Ammon 1995). Higher Vp/Vs ratios are observed in the Yuncheng and Datong grabens and



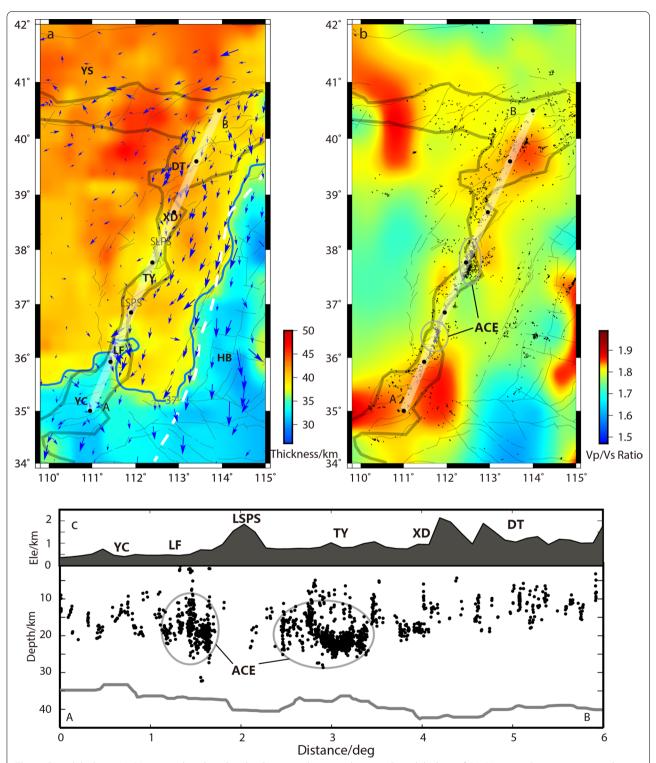
**Fig. 5** H- $\kappa$  Analysis of stations 41230, 14815 and 14903. The red crosses represent the best estimates of the crustal thickness and Vp/Vs ratio, and the red ellipses show the 97% confidence intervals

from the northern Ordos block to the Yinshan Mountains, with an average value of 1.85. The average Vp/Vs ratios of the northern Linfen graben and the Taiyuan graben are approximately 1.75, and those of the central Ordos block and from the Huabei basin to the Taihang Mountains are 1.72-1.76 and 1.63-1.75, respectively. The Vp/Vs ratio of the northern Ordos block reaches 1.86. Tian et al. (2011) also observed a high anomaly in the Vp/Vs ratio by receiver functions and argued that lower crustal ductile flows transfer from the Hetao graben to the northern Ordos Block. The variations in the crustal thickness and Vp/Vs ratios obtained in this paper are in good agreement with the published results based on receiver function velocity inversion, discontinuity imaging, H- $\kappa$  stacking techniques and deep seismic sounding (DSS) method (Chen et al. 2009; Zheng et al. 2009; Tang et al. 2010; Wei et al. 2013; He et al. 2014; Li et al. 2014; Wang et al. 2014). However, more densely distributed seismic stations are used in this paper; therefore, our results should have a higher resolution.

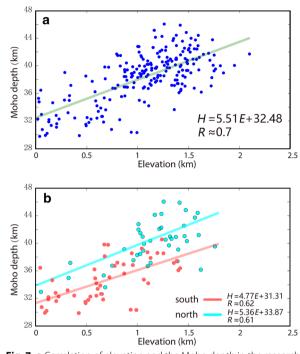
#### **Discussion**

#### Moho depth and relief

The correlation between the Moho depth and topography provides information on gravitational isostatic equilibrium, which can help in the understanding of the tectonic evolution of the research region. Linear fitting revealed that the linear regression equation between the depth of the Moho (H) and the elevation (E) is H = 5.51E + 32.48, and the correlation coefficient is  $R \approx 0.7$  (Fig. 7a). The slope between the Moho depth and elevation is  $\sim 5.51$ (also called the isostasy coefficient), which is much greater than the typical continental crust value of 4.45 (Guo et al. 2012). As shown in Fig. 7b, the slope between the Moho depth and elevation in southern and northern Shanxi rift and its adjacent regions is 4.77 and 5.36, respectively. According to the AIRY equilibrium theory, the larger slope is caused by the smaller density difference between the crust and the mantle (Airy 1855). As shown in Fig. 5, the multiple wave (PpP<sub>m</sub>s) of the RFs of station 14903 (located in Yuncheng graben) is characterized by multi-peak, which means that the Moho beneath the station may possibly be a velocity transitional zone



**Fig. 6** Crustal thickness, Vp/Vs ratio and earthquakes distribution in the research area. **a** Crustal thickness. **b** Vp/Vs ratio. **c** Seismicity pattern along the Shanxi Rift shown in **b**. The blue arrows display GPS velocity vectors; the black dots represent the locations of earthquakes, the white dashed line indicates the north–south gravity lineament, and the gray line stands for the crustal thickness along the profile AB. *YC* Yuncheng graben, *LF* Linfen graben, *TY* Taiyuan graben, *XD* Xinding graben, *DT* Datong graben, *YS* Yinshan Mountains, *HB* Huabei basin, *LSPS* Lingshi Push-up Swell, *SLPS* Shilingguan Push-up Swell, *ACE* area with concentrated distributions of earthquakes



**Fig. 7** a Correlation of elevation and the Moho depth in the research region. The green line is the linear regression curve. **b** The red and blue dots represent the H- $\kappa$  stacking results in the northern and southern Shanxi rift and its adjacent regions, respectively. The lines give the approximate trends for depth of Moho versus elevation

based on the test of synthetic RF (the velocity model with a transitional Moho) in Fig. 8. The Bouguer gravity data reveal that there are significant gravitational anomalies beneath the Shanxi Rift that are characterized by gravity values in the -120 to -145 mGal range (Xu and Ma 1992). Wang et al. (2001) obtained relatively high heat flow values in the Shanxi Rift, which has an average heat flow of  $68\pm10~\text{mWm}^{-2}$ . Combining these results, we speculate that there may be an exchange of materials and energy between the crust and mantle below the Shanxi Rift and its adjacent regions and the northern part is more intense than the southern part.

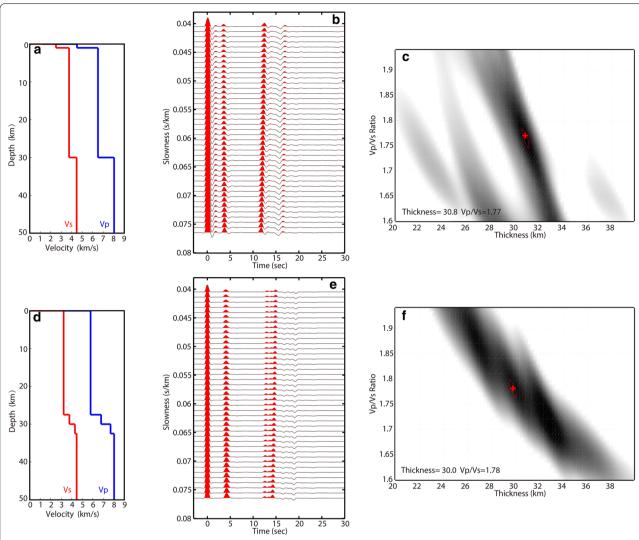
#### Crustal thickness and north–south difference in the Shanxi Rift

The term rift refers to the initial stage of continental break-up, where continuous development may lead to the rupture of the lithosphere and the formation of new ocean basins. A rift, as an extensional tectonic unit bounded by normal faults, has obvious lithospheric anomalies, which usually manifested as a thinned crust and mantle upwelling, and has often been described by two end-member models, active rifting and passive rifting (Sengör and Burke 1978; Olsen 1995; Kearey et al. 2009). Active rifting is defined as rifting in response to

a thermal upwelling of the mantle and characterized by lithospheric velocity anomalies. Passive rifting is defined as rifting in response to a regional stress field, usually assumed to originate from remote plate boundary forces (the lithosphere is thinned only in response to extension). However, there is still insufficient understanding of the crustal structure of the Shanxi Rift.

The images of Moho depth obtained by Tang et al. (2010) using the receiver function migration technique show that there is obvious uplift of the Moho approximately 4-6 km below the Taiyuan and Linfen grabens. Fine crustal structures obtained by Li et al. (2014) with the DSS method showed that the Moho interface was uplifted approximately 3 km beneath the Linfen graben. However, the above results are based on two-dimensional profiles. The crustal structure results in this paper can provide more specific information for the crustal thickness variation in detail below the Shanxi Rift, which reveals that there are Moho uplift of 6-8, 3-5, and 2-4 km below the Yuncheng, Linfen and Taiyuan grabens. The Yuncheng graben has the most obvious crustal thinning, followed by the Linfen and Taiyuan grabens. The crustal thinning feature between the Taiyuan and Linfen grabens is separated by the Lingshi Push-up Swell at the southern end of the Taiyuan graben. The Xinding and Datong grabens, which are in the northern part of the Shanxi Rift, have no crustal thinning, which is consistent with the Moho depth obtained by using receiver functions migration (Chen et al. 2009; Cheng et al. 2013).

GPS-based research by Shen et al. (2000) showed that the Shanxi Rift has an extensional rate of approximately  $4\pm2$  mm/year. The dense GPS velocity field with respect to the Ordos block shows that the Taihang Mountains move southward at a rate of 1.2 mm/year, causing dextral shear across the Shanxi grabens, and the Yuncheng graben located in the southern Shanxi graben has a dextral slip rate of  $0.8\pm0.1$  mm/year and an extensional rate of  $0.9 \pm 0.1$  mm/year (Hao et al. 2021), which are consistent with the tectonic background stress and main types of earthquake mechanisms in the region (Sheng et al. 2015; Qu et al. 2017). Tang et al. (2013) and Ai et al. (2019) used the joint inversion of ambient noise and surface waves and the joint inversion of receiver functions and surface waves to obtain the S-wave velocity structure of the crust and upper mantle in the region, indicating that there are significant differences between the northern and southern sides of the Shanxi Rift. In the upper crust of the southern Shanxi Rift, the Yuncheng, Linfen, and Taiyuan grabens are dominated by low-velocity anomalies, while the Datong and Xinding grabens in the north are characterized by high-velocity anomalies; from the middle and lower crust to the upper mantle, the Datong graben exhibits a lower velocity structure, while the Chen et al. Earth, Planets and Space



**Fig. 8** Synthetic receiver function test. **a** The crustal velocity model with a 1-km-thick sedimentary layer. **b** Synthetic receiver function from model in **a. c** *H*-κ stacking of RF in **b**. **d** The crustal velocity model with a "transitional Moho". **e** Synthetic receiver function from model in **d**. **f** *H*-κ stacking of RF in **e** 

Yuncheng and Linfen grabens are dominated by higher anomalies. Combining the isostasy coefficient obtained in Fig. 7b, we argue that the grabens in the southern and central parts of the Shanxi Rift are less affected by deep mantle upwelling than the grabens in the northern parts of the Shanxi Rift, and the current extensional deformation and depression are mainly due to regional tensional stresses. The extensional environment is due to different rates of the motion of blocks (around the Shanxi Rift) along the strike—slip boundary, which may be caused by back-arc spreading of the Pacific Plate and pushing of the northeastern Tibetan Plateau since the Mesozoic. The low-temperature thermochronology results obtained by Su et al. (2021) imply that the development of grabens in

the southern Shanxi Rift occurred earlier than that in the central and northern regions. Combining previous studies and the crustal thickness variation obtained in this paper, we speculate that the grabens in the Shanxi Rift have experienced a gradual development process from south to north, corresponding to the most obvious feature of crustal thinning in the Yuncheng graben, followed by the Linfen and Taiyuan grabens.

#### Variation in the Vp/Vs ratio and seismogenic environment

Poisson's ratio plays a vital role in studying the composition of crustal materials and is an important elastic parameter reflecting the shear deformation potential in crust (Zandt and Ammon 1995; Christensen et al. 1996).

Poisson's ratio can be determined uniquely from the Vp/ Vs ratio,  $\sigma = 0.5 \times [1 - 1/(\kappa^2 - 1)]$ , which show a positive correlation. The upper crust is enriched in felsic rocks with lower Poisson's ratios (<0.26), and the lower crust is dominated by mafic materials with higher Poisson's ratios (>0.28); when Poisson's ratio is greater than 0.3, the lower crust may be partially molten or fluid (Zandt and Ammon 1995). This demonstrates that the material with the larger Vp/Vs ratio (or Poisson's ratio) is more prone to shear deformation (Christensen and Fountain 1975). The variation in the Vp/Vs ratio in this paper shows that there are significantly higher anomalies in the Yuncheng and Linfen grabens of the southern Shanxi Rift and in Datong volcano of the northern Shanxi Rift. According to the velocity structure research mentioned above (Chang et al. 2007; Tang et al. 2013; Ai et al. 2019), we argue that the higher Vp/Vs ratios in the Yuncheng graben and the southern Linfen graben are caused by the low velocity of the upper crust, which verifies that the deformation of the upper crust results from a tensional environment in this region. The higher Vp/Vs ratio beneath Datong volcano corresponds to the lowervelocity and lower-resistivity structures in the middle and lower crust in the region (Zheng et al. 2009; Zhang et al. 2016), which may be related to the magmatic activity of mantle upwelling.

An accurate relocation of the earthquake (black dots in Fig. 6b, c) in the Shanxi seismic belt is obtained by using the double difference relocation algorithm from the integrated travel time data recorded at the permanent seismic stations during 2009-2018, and it is in good agreement with the results of Song et al. (2012) and Wang et al. (2020). As shown in Fig. 6b and c, we find that the distribution and focal depths of earthquakes are correlated with the variation in the Vp/Vs ratio in the Shanxi Rift. From the perspective of epicenter distribution, a comparison of the discretely distributed earthquakes in the Datong, Xinding and Yuncheng grabens reveals that there are two areas with significantly concentrated distributions of earthquakes (ACE) in the northern Taiyuan graben and the northern Linfen graben, which correspond to the abrupt belt of variation in the Vp/Vs ratio in Fig. 6b and c (earthquakes mainly occur in the region characterized by a lower Vp/Vs ratio). Wang et al. (2009) also found the same feature of earthquake distribution by researching Poisson's ratio in the Capital Circle Region. In terms of focal depth, earthquake depths in the Datong, Xinding and Yuncheng grabens are basically above 20 km, yet a large number of earthquakes with focal depths of 20–30 km exist in the ACE (Fig. 6c). It is worth noting that both the Hongtong M<sub>s</sub> 8 earthquake and the Linfen M<sub>s</sub> 7.34 earthquake occurred in the region with both high Vp/Vs ratios and low Vp/Vs ratios in the Linfen graben. This corresponds to the large value of the GPS displacement field (blue arrows in Fig. 6a) (Hao et al. 2021). As mentioned above, a region with a larger Poisson's ratio is more easily deformed, and a region with a low Poisson's ratio is more likely to accumulate energy and cause destructive earthquakes, which explains the above phenomenon. Moreover, through studying the Changning seismicity area, Hu et al. (2021) found that the lateral difference in Poisson's ratio is probably the main cause of the local difference in the stress field. Therefore, we believe that the possibility of strong earthquakes in the Taiyuan and Linfen grabens in the central Shanxi Rift is greater than in the grabens on the north and south sides of the rift and is mainly concentrated in the area with abrupt variations in the Vp/Vs ratios.

#### **Conclusion**

We imaged the crustal structure by using the receiver function H- $\kappa$  stacking technique and obtained the results of the crustal thickness and average Vp/Vs ratio structures covering the Shanxi Rift and surrounding regions. The results show that the crustal thickness gradually increases from southeast to northwest in the research region. The Moho depth is positively correlated with altitude (isostasy coefficient is  $\sim$ 5.51), implying that there may be an exchange of crust-mantle materials beneath the Shanxi rift and its adjacent regions. The Yuncheng graben exhibits the strongest crustal thinning, followed by the Linfen and Taiyuan grabens, which indicates that the grabens in the Shanxi Rift have probably gradually developed from south to north. The average Vp/Vs ratios of the Yuncheng, Xinding, and Datong grabens in the north and south of the Shanxi Rift are higher than those of the Taiyuan and Linfen grabens in the central part, and the zones of densely distributed earthquakes are located in the abrupt belt of variation in the Vp/Vs ratio (in places with lower Vp/Vs ratios). We speculate that the possibility of strong earthquakes in the central Shanxi Rift is larger than that on the northern and southern sides.

#### **Supplementary Information**

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**Additional file 1: Figure S1.** Synthetic receiver function with 1 km thick sedimentary layer and different  $\kappa$  value of sediment. The depth of Moho is 40 km and the average crustal Vp/Vs ratio (under sediment layer) is 1.78. (a)  $\kappa=2.0$ . (b)  $\kappa=2.5$ . (c)  $\kappa=3.0$ . (d)  $\kappa=3.5$ . **Figure S2.** H- $\kappa$  Analysis of Synthetic receiver function in Figure S1. (a), (c), (e) and (g) is H- $\kappa$  stacking of RF in Figure S1(a-d) without sediments. (b), (d), (f) and (h) is H- $\kappa$  stacking of RF in Figure S1(a-d) with sediments. **Figure S3.** Synthetic receiver function with 2 km thick sedimentary layer and different  $\kappa$  value of sediment. The depth of Moho is 40 km and the average crustal Vp/Vs ratio (under sediment layer) is 1.78. (a)  $\kappa=2.0$ . (b)  $\kappa=2.5$ . (c)  $\kappa=3.0$ . (d)  $\kappa=3.5$ . **Figure S4.** H- $\kappa$  Analysis of Synthetic receiver function in Figure S3. (a), (c), (e)

and (g) is H- $\kappa$  stacking of RF in Figure S3(a-d) without sediments. (b), (d), (f) and (h) is H- $\kappa$  stacking of RF in Figure S3(a-d) with sediments. **Figure S5**. Synthetic receiver function with 5 km thick sedimentary layer and different  $\kappa$  value of sediment. The depth of Moho is 40 km and the average crustal Vp/Vs ratio (under sediment layer) is 1.78. (a)  $\kappa = 2.0$ . (b)  $\kappa = 2.5$ . (c)  $\kappa = 3.0$ . (d)  $\kappa = 3.5$ . **Figure S6**. H- $\kappa$  Analysis of Synthetic receiver function in Figure S3. (a) H- $\kappa$  stacking of RF in Figure S5(a) without sediments. (b) H- $\kappa$  stacking of RF in Figure S5(a) with sediments. **Figure S7**. (a) Distribution of sedimentary thickness and stations. (b-c) Receiver functions of stations 41210, 15782. **Figure S8**. - Analysis of stations 61133 and 61109. **Table S1**. Crustal thickness and Vp/Vs ratio beneath the stations in the study area. H: the estimated crustal thickness;  $\kappa$ : the Vp/Vs.

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#### Authors' contributions

CJ, LS and CY carried out most of geologic investigation, data collection and pre-treatment. YZ, LX and SX contributed to data of the earthquake distribution in the study. CY and CJ analyzed the data and drafted the manuscript. All the authors read and approved the final manuscript.

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#### Availability of data and materials

Seismic waveform data by China Seismic Array Data Management Center at Institute of Geophysics, China Earthquake Administration (http://www.chinarraydmc.cn/, https://doi.org/10.12001/ChinArray.Data).

#### **Declarations**

#### **Competing interests**

The authors declare that they have no competing interests.

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